

IAPD Rec'd PCT/PTO 31 JAN 2006

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q92766

Takeshi AZAMI, et al.

Appln. No.: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: January 31, 2006

Examiner: Not Yet Assigned

For: NANOCARBON MANUFACTURING APPARATUS, AND METHOD OF  
MANUFACTURING AND METHOD OF RECOVERING NANOCARBON

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Publication No. 2000-159514, published June 13, 2000 (with English Abstract).
2. Japanese Patent Publication No. 61-42318, published February 28, 1986 (with English Abstract).
3. Japanese Patent Publication No. 6-183712, published July 5, 1994 (with English Abstract).

INFORMATION DISCLOSURE STATEMENT  
National Stage Entry of PCT/JP2004/011262

4. S. Iijima, et al. "NANO-AGGREGATES OF SINGLE-WALLED GRAPHITIC CARBON NANO-HORNS," Chemical Physical Letters 309, August 13, 1999.

5. Japanese Patent Publication No. 2001-64004, published March 13, 2001 (with English Abstract).

One copy of each of the listed documents is submitted herewith, except for those listed in the International Search Report. It is assumed that copies of the cited references from the International Search Report will be supplied directly by the International Bureau, but if further copies are needed, the undersigned will undertake to provide them upon request.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents Applicant submits the International Search Report which cites reference Nos. 1-3 and indicates the degree of relevance found by the Foreign Office. Applicant also states that reference No. 5 is discussed within the specification beginning at page 2, line 4.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.


10/566579

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INFORMATION DISCLOSURE STATEMENT  
National Stage Entry of PCT/JP2004/011262

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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WASHINGTON OFFICE

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Date: January 31, 2006

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MODIFIED PTO/SB/08 A &amp; B (06-03)

APPROPRIATE PCT/PTO  
 31 JAN 2006

Substitute for Form 1449 A &amp; B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	Not Yet Assigned
Confirmation Number	Not Yet Assigned
Filing Date	January 31, 2006
First Named Inventor	Takeshi AZAMI
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	Q92766

Sheet 1 of 1

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
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## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	2000-159514	A	06-13-2000	UNIV NAGOYA	Abstract
		JP	61-42318	A	02-28-1986	NATL RES INST FOR METALS	Abstract
		JP	6-183712	A	07-05-1994	SHOWA DENKO KK, et al.	Abstract
		JP	2001-64004	A	03-13-2001	JAPAN SCIENCE & TECHNOLOGY, et al.	Abstract

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		S. Iijima, et al. "NANO-AGGREGATES OF SINGLE-WALLED GRAPHITIC CARBON NANO-HORNS," Chemical Physical Letters 309, August 13, 1999.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.